SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL							
OMB Number:	3235-0287						
Estimated average burden							

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to Sect obligat	this box if no le tion 16. Form 4 ions may conti tion 1(b).	or Form 5	STATI		d pursu	ant to	o Sectio	on 16	(a) of th	ne Sec	ENEFIC curities Excha Company Ag	ange Ac	ct of		RSHIP		OMB Num Estimated hours per r	average	burder	235-0287 1 0.5
1. Name and Address of Reporting Person* DOUGLAS KEVIN						or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol IMAX CORP [IMAX]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner					
							3. Date of Earliest Transaction (Month/Day/Year) 06/10/2021								Officer (give title X Other (spe below) 13(d)(3) group				pecify	
(Street) LARKSI	PUR CA		4939		4. If										6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person					
(City)	(St		Zip)										_		·					
Date		nsaction	n 2 E ear) if	2A. Deemed Execution Date,		3. Transaction Code (Instr. 8)		4. Securities Acquirities Acquirities Disposed Of (D) (cquired (A) or		5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nate Indiree Benefi Owner	ct cial ship			
									Code	v	Amount	(A) or (D)	r	Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Common	Stock		06/	10/202	21				Α		5,585 ⁽⁹⁾	A		\$0.00	3,961,1	L10	D ⁽¹⁾⁽²⁾⁽	6)(7)		
Common	Stock														2,584,4	141	I		Jean Doug Irrev	las and las ocable endants'
Common	Stock														1,251,989 I Fam			By D Fami Trust		
Common	Stock														923,64	45	I		By Ja Doug III ⁽²⁾⁽¹	
Common	Stock														200,00	00	I		By C Finar LLC	ncial,
		Та									sposed o s, convert					d				
1. Title of Derivative Security (Instr. 3) 2. Or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year) 4. Transaction Code (Instr. 8) 5. Numb of Derivative Security (A) or Dispose of (D) (Instr. 3)		er Expiration Date (Month/Day/Year) d d			Title nour curi derl riva curi	and nt of ties lying tive ty (Instr.	8. Price of Derivative Security (Instr. 5)		Securities F Beneficially D Dwned d		rship (D) irect str. 4)	11. Nature of Indirec Beneficia Ownershi (Instr. 4)								
					Code	v	(A)	(D) Dat	te ercisat	Expiration Date	on Titl		Amount or Number of Shares						
	nd Address of LAS KE	f Reporting Person [*] VIN																		

-						
(Last)	(First)	(Middle)				
125 E. SIR FRA	NCIS DRAKE H	3LVD., STE 400				
(Street)						
LARKSPUR	CA	94939				
(City)	(State)	(Zip)				
1. Name and Address of Reporting Person*						

<u>5 IRREVOCABLE</u> <u>JAM</u> <u>JEAN</u> <u>DUUGLA</u> **DESCENDANTS TRUST**

(Last) 125 E. SIR FRAN	(First) CIS DRAKE BLVD.	(Middle) , STE 400
(Street) LARKSPUR		94939
(City)	(State)	(Zip)
1. Name and Address DOUGLAS FA	of Reporting Person [*]	
(Last) 125 E. SIR FRAN	(First) CIS DRAKE BLVD.	(Middle) , STE 400
(Street) LARKSPUR	СА	94939
(City)	(State)	(Zip)
1. Name and Address DOUGLAS JA		
(Last) 125 E. SIR FRAN	(First) CIS DRAKE BLVD.	(Middle) , STE 400
(Street) LARKSPUR	СА	94939
(City)	(State)	(Zip)
1. Name and Address <u>CELTIC FINA</u>		
(Last) 125 E. SIR FRAN	(First) CIS DRAKE BLVD.	(Middle) , STE 400
(Street) LARKSPUR	СА	94939
(City)	(State)	(Zip)

Explanation of Responses:

1. These securities are held directly and jointly by Kevin Douglas and his wife, Michelle Douglas.

2. Each of the reporting persons hereunder (individually, a "Reporting Person" and collectively the "Reporting Persons") may be deemed a member of a "group" within the meaning of Section 13(d)(3) of the Securities and Exchange Act of 1934, as amended (the "Exchange Act") or Rule 13d-5 promulgated under the Exchange Act, with one or more of the other Reporting Persons. Although the Reporting Persons are reporting such securities as if they were members of a "group", the filing of this Form 4 shall not be deemed an admission by any Reporting Person that such Reporting Person is a beneficial owner of any securities other than those directly held by such Reporting Person.

3. These securities are held directly by the James Douglas and Jean Douglas Irrevocable Descendants' Trust and indirectly by Kevin Douglas. Kevin Douglas and Michelle Douglas, husband and wife, are each a co-trustee of the James Douglas and Jean Douglas Irrevocable Descendants' Trust.

4. These securities are held directly by the Douglas Family Trust and indirectly by Kevin Douglas. Kevin Douglas and Jean A. Douglas are each a co-trustee of the Douglas Family Trust.

5. These securities are held directly by James E. Douglas III and indirectly by Kevin Douglas.

6. Includes 62,652 shares held by an intentionally defective grantor trust (the "KGD IDGT"). Kevin Douglas, as the settlor of the KGD IDGT, has the right to substitute property of equivalent value in return for the shares held by the KGD IDGT and may be deemed to have shared voting and dispositive power over the shares held by the KGD IDGT.

7. Includes 62,652 shares held by an intentionally defective grantor trust (the "MMD IDGT"). Michelle Douglas, as the settlor of the MMD IDGT, has the right to substitute property of equivalent value in return for the shares held by the MMD IDGT and may be deemed to have shared voting and dispositive power over the shares held by the MMD IDGT.

8. These securities are held directly by Celtic Financial, LLC and indirectly by Kevin Douglas.

9. Restricted stock units granted to Kevin Douglas which were vested on the date of grant.

Remarks:

<u>/s/ Eileen Wheatman, attorney</u> <u>06/14/2021</u> in fact for Kevin Douglas /s/ Eileen Wheatman, attorney 06/14/2021 in fact for Douglas Family Trust /s/ Eileen Wheatman, attorney

in fact for James Douglas and 06/14/2021 Jean Douglas Irrevocable Descendants' Trust

/s/ Eileen Wheatman, attorney in fact for James E. Douglas 06/14/2021 III

/s/ Eileen Wheatman, attorney in fact for Celtic Financial, LLC

06/14/2021

** Signature of Reporting Person Date Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.