SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Addres <u>Tu Denny</u>	ss of Reporting I	Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>IMAX CORP</u> [IMAX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last) 12582 WEST M	(First) ILLENNIUM	(Middle) I DRIVE	3. Date of Earliest Transaction (Month/Day/Year) 12/01/2022	X Officer (give title Other (specify below) below) Chief Marketing Officer
(Street) PLAYA VISTA	СА	90094	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting
(City)	(State)	(Zip)	erivative Securities Acquired, Disposed of, or Bene	Person

1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 5. Amount of 6. Ownership 7. Nature Transaction Code (Instr. Execution Date, Form: Direct of Indirect Date Securities if any (Month/Day/Year) (Month/Dav/Year) Beneficially Beneficial (D) or Indirect Owned Following Ownership (Instr. 4) 8) (I) (Instr. 4) Reported (A) or (D) Price Transaction(s) v Code Amount (Instr. 3 and 4) common shares (opening balance) 13,785 D common shares 12/01/2022 Μ 2,502(1) \$0.00 16,287 D Α common shares 12/01/2022 F 866(2) D \$16.78 15,421 D common shares 12/02/2022 6,000 D \$17.26 9,421 D S

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/\	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
restricted share units ⁽³⁾	\$ 0.00 ⁽⁴⁾	12/01/2022		М			2,502 ⁽¹⁾	(5)	(5)	common shares	2,502	\$0.00 ⁽⁴⁾	0 ⁽⁶⁾	D	

Explanation of Responses:

1. Represents the conversion upon vesting of restricted share units into common shares.

2. Mr. Tu is reporting the withholding of common shares by IMAX Corporation to satisfy the tax withholding obligations in connection with the delivery of common shares upon conversion of the restricted share unit transactions.

3. Each restricted share unit represents a contingent right to receive one common share of IMAX Corporation.

4. Each restricted share unit is the economic equivalent of one common share of IMAX Corporation.

5. The restricted share units vested and converted to common shares on December 1, 2022.

6. This represents the number of restricted share units for this transaction only. Mr. Tu's aggregate remaining outstanding option, restricted share unit and common share balances following these transactions will be 14,754, 30,391 and 9,421, respectively.

Remarks:

Kenneth I. Weissman (attorneyin-fact for Denny Tu) 12/05/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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