FORM 4

# **UNITED STATE**

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Washington, D.C. 20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: 3235-0287 Estimated average burden 0.5 hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

common shares (opening balance)

common shares

common shares

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr <u>Weissman K</u>			ssuer Name <b>and</b> Tion [AX CORP]			g Symbol		ationship of Reportir call applicable) Director Officer (give title	10% (			
(Last) 902 BROADW 20TH FLOOR	(First)	(Middle)		eate of Earliest Trans 09/2024	saction	(Mont	h/Day/Year)		below)  Deputy GC, Co	below	)	
(Street) NEW YORK (City)	4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)						5. Individual or Joint/Group Filing (Check Applicable ine)  Form filed by One Reporting Person  Form filed by More than One Reporting Person				
		Table I - Non-Der	vative	Securities Ac	quire	d, Di	sposed of	, or Be	neficially	Owned		
1. Title of Security (Instr. 3)  2. Trans Date (Month/I				2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

C

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6,309(1)

6.309

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					
stock options (to buy) <sup>(2)</sup>	\$20.85	12/09/2024		C			6,309	(3)	03/07/2025	common shares	6,309	\$20.85	0 <sup>(4)</sup>	D		

#### **Explanation of Responses:**

- 1. Represents the conversion upon exercise of stock options into common shares.
- 2. These options were issued in 2018 and are set to expire on March 7, 2025. The transaction was driven solely by the upcoming expiration of the options and the issuer's fourth quarter trading blackout period that commences on December 18, 2024. The trading blackout will end 24 hours after the issuer's earnings release in February 2025
- 3. The stock options became exercisable in four installments: 1.577 on March 7, 2019, 1.972 on each of March 7, 2020 and March 7, 2021 and 2,365 on March 7, 2022.
- 4. This represents the number of restricted share units for this transaction only. Mr. Weissman's aggregate remaining outstanding option, restricted share unit and common share balances following these transactions will be 7,072, 21,715 and 25,139, respectively.

### Remarks:

Kenneth Ian Weissman

\*\* Signature of Reporting Person

12/09/2024

Date

25,139

31,448

25,139

\$20.85

\$25,5076

A

D

D

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

12/09/2024

12/09/2024

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.